

## Frequently Asked Questions

### **What stock information will be reflected on the certification?**

The SPC contains the stock price, usually the closing price, of the security/ies requested. If specified, the open, high, and low prices may also be provided. Ratios, averages, and other computations are **not included**.

### **Does this mean the certification will not state the number of shares owned or the value of the entire shareholdings?**

The number of shares owned and the total value of shares owned will **not be indicated** on the SPC. The document will merely state the required price of a security on the requested closing date.

### **Whose name should I put as requestor?**

If the certification is for estate tax purposes, the heir/s, executor of estate or the lawyer, auditor, trading participant assisting in preparing the requirements should be named as requestor. The deceased shareholder **should not be** named as requestor.

If the certification is for stock donation or tender offer purposes, the shareholder or the party assisting the shareholder may be named as requestor.

### **Do I need to give PSE proof of stock ownership (e.g. stock certificate, e-mail from my stockbroker) or a copy of the death certificate of the stockholder (for SPCs requested for estate tax purposes)?**

There is no need to provide PSE a copy of documents related to the stockholder and his/her shareholdings. The requestor should only submit the accomplished SPCRF.

### **Should I indicate the stock certificate number or number of shares owned after the company name in item 10 of the SPCRF?**

The stock certificate number and the number of shares owned are not required information. The requestor should only provide the full corporate name of securities owned by the shareholder.

### **Where can I find the series required in item 10 for preferred shares?**

The requestor may check the stock certificate and look for the preferred shares series indicated on it.

### **Do I need to include public shares that were never listed (e.g. club or hospital shares) in my certification request?**

The requestor may ask the Bureau of Internal Revenue if the club or company name should still be included in the SPC. If these should be in the SPC, then the document will merely state that the shares were never listed on the PSE.

### **I need the stock market value of securities that used to have A and B shares but have been declassified into one common share. What closing price will be stated on the certification?**

If the closing date is pre-declassification, the price of the A or B shares will be indicated on the SPC. Otherwise, the closing price of the declassified stock will be provided.

### **What price will be reflected in the certification falls on a weekend or if the security was not traded on the required closing date?**

The last traded price of the stock before the required closing date will be placed on the certification.

**Do all DragonPay channels confirm payment real time?**

No. For real time payment confirmation, especially for rush processing requests, we recommend that payment be made through online banking or e-wallet platforms. Over the counter cash payment is confirmed by end of day or before 9:00AM the following day.

**Will I get a receipt if I settle the processing fee through DragonPay?**

Yes. However, the receipt will only be available by the fifth or seventh day of the following month.

**Do you provide a soft copy of the certification?**

Yes. A soft copy of the document is included in the e-mail notifying the requestor that the certification is already available for pick up.

**How can I claim the certification if I am based outside of Metro Manila?**

Shipping of the document may be arranged with the team handling your request.

**For inquiries, contact PSE's Corporate Communications Department:**

 (632) 8876- 4888  
 [info@pse.com.ph](mailto:info@pse.com.ph)