



# MEMORANDUM

CN - No. 2021-0016

## THE PHILIPPINE STOCK EXCHANGE, INC.

- |                                     |  |
|-------------------------------------|--|
| <input type="checkbox"/> Trading    | <input type="checkbox"/> Public Advisory                   |
| <input type="checkbox"/> Disclosure | <input type="checkbox"/> Administrative/Technology Matters |
| <input type="checkbox"/> Listing    | <input type="checkbox"/> Others:                           |

**TO :** THE INVESTING PUBLIC

**SUBJECT :** UPDATED LIST OF TRADING PARTICIPANTS ELIGIBLE TO TRADE REAL ESTATE INVESTMENT TRUST (REIT) SECURITIES

**DATE :** March 05, 2021

The Philippine Stock Exchange, Inc. (“PSE”) would like to announce that the eligibility of **King’s Power Securities, Inc.** for trading Real Estate Investment Trust (“REIT”) Securities has been suspended due to its approved application for voluntary suspension.

Further, with reference to Circular Number CN – No. 2021-0014, PSE has deactivated the access of **Tri-State Securities, Inc.** to REITS trading effective today, March 5, 2021.

For an updated list of eligible TPs, please refer to attached “Annex A”.

For your information and guidance.

*(Original Signed)*  
**ROEL M. VILLANUEVA**  
 OIC, Market Operations Division

Noted by:

*(Original Signed)*  
**RAMON S. MONZON**  
 President and CEO

|                           |    |     |     |    |                                      |                |     |     |
|---------------------------|----|-----|-----|----|--------------------------------------|----------------|-----|-----|
| CMDD                      | FD | IRD | MOD | TD | HRD / RISK / SU                      | CCD / FMD / AD | OGC | COO |
| Tel. No.: (632) 8876-4888 |    |     |     |    | E-mail Address: investing@pse.com.ph |                |     |     |

**List of Eligible Trading Participants for Trading REIT Securities**

(As of March 5, 2021)

1. A & A SECURITIES, INC.
2. A.T. DE CASTRO SECURITIES CORPORATION
3. AAA SOUTHEAST EQUITIES, INC.
4. AB CAPITAL SECURITIES, INC.
5. ABACUS SECURITIES CORPORATION
6. ALAKOR SECURITIES CORPORATION
7. ALPHA SECURITIES CORPORATION
8. ANSALDO GODINEZ & CO., INC.
9. AP SECURITIES, INCORPORATED
10. APEX (PHILIPPINES) EQUITIES CORP.
11. ASIA PACIFIC CAPITAL EQUITIES AND SECURITIES CORP.
12. ASIASEC EQUITIES, INC.
13. ASTRA SECURITIES CORPORATION
14. AURORA SECURITIES, INC.
15. B. H. CHUA SECURITIES CORPORATION
16. BA SECURITIES, INC.
17. BDO SECURITIES CORPORATION
18. BELSON SECURITIES, INC.
19. BERNAD SECURITIES, INC.
20. BPI SECURITIES CORPORATION
21. CAMPOS, LANUZA & COMPANY, INC.
22. CHINA BANK SECURITIES CORPORATION
23. CLSA PHILIPPINES, INC.
24. COHERCO SECURITIES, INC.
25. COL FINANCIAL GROUP, INC.
26. CREDIT SUISSE SECURITIES (PHILIPPINES), INC.
27. CTS GLOBAL EQUITY GROUP, INC.
28. CUALOPING SECURITIES CORPORATION
29. DA MARKET SECURITIES, INC.
30. DAVID GO SECURITIES CORPORATION
31. DBP-DAIWA CAPITAL MARKETS PHILIPPINES, INC.
32. DIVERSIFIED SECURITIES, INC.
33. E. CHUA CHIACO SECURITIES, INC.
34. EAGLE EQUITIES, INC.
35. EAST WEST CAPITAL CORPORATION
36. EASTERN SECURITIES DEVELOPMENT CORP.
37. EQUITIWORLD SECURITIES, INC.
38. EVERGREEN STOCKBROKERAGE & SECURITIES, INC.

|                           |    |     |     |    |                                      |                |     |     |
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39. F. YAP SECURITIES, INC.
40. FIDELITY SECURITIES, INC.
41. FIRST INTEGRATED CAPITAL SECURITIES, INC.
42. FIRST METRO SECURITIES BROKERAGE CORP.
43. FIRST ORIENT SECURITIES, INC.
44. G.D TAN & CO., INC.
45. GLOBALINKS SECURITIES & STOCKS, INC.
46. GOLDEN TOWER SECURITIES & HOLDINGS, INC.
47. GOLDSTAR SECURITIES, INC.
48. GUILD SECURITIES, INC.
49. H.E. BENNETT SECURITIES, INC.
50. HDI SECURITIES, INC.
51. IGC SECURITIES, INC.
52. IMPERIAL, DE GUZMAN, ABALOS & CO., INC.
53. INTRA-INVEST SECURITIES, INC.
54. INVESTORS SECURITIES, INC.
55. J.M. BARCELON & CO., INC.
56. J. P. MORGAN SECURITIES PHILIPPINES, INC.
57. JAKA SECURITIES CORPORATION
58. JSG SECURITIES, INC.
59. LARRGO SECURITIES CO., INC.
60. LITONJUA SECURITIES, INC.
61. LOPEZ, LOCSIN, LEDESMA & CO., INC.
62. LUCKY SECURITIES, INC.
63. LUYS SECURITIES COMPANY, INC.
64. MACQUARIE CAPITAL SECURITIES (PHIL), INC.
65. MANDARIN SECURITIES CORPORATION
66. MARIAN SECURITIES, INC.
67. MAYBANK ATR KIM ENG SECURITIES, INC.
68. MDR SECURITIES, INC.
69. MERCANTILE SECURITIES CORPORATION
70. MERIDIAN SECURITIES INCORPORATED
71. MOUNT PEAK SECURITIES, INC.
72. NEW WORLD SECURITIES CO., INC.
73. OPTIMUM SECURITIES CORPORATION
74. PAN ASIA SECURITIES CORPORATION
75. PAPA SECURITIES CORPORATION
76. PHIL-PROGRESS SECURITIES CORPORATION
77. PHILSTOCKS FINANCIAL, INC.
78. PHILIPPINE EQUITY PARTNERS, INC.

|                           |    |     |     |    |                                      |                |     |     |
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79. PLATINUM SECURITIES, INC.
80. PNB SECURITIES, INC.
81. PREMIUM SECURITIES, INC.
82. QUALITY INVESTMENTS & SECURITIES CORP.
83. R. COYIUTO SECURITIES, INC.
84. R. NUBLA SECURITIES, INC.
85. R.S. LIM & COMPANY, INC.
86. RCBC SECURITIES, INC.
87. REGINA CAPITAL DEVELOPMENT CORP.
88. REGIS PARTNERS, INC.
89. RTG & CO., INC.
90. S.J. ROXAS & COMPANY, INC.
91. SALISBURY BKT SECURITIES CORPORATION
92. SARANGANI SECURITIES, INC.
93. SB EQUITIES, INC.
94. SECURITIES SPECIALISTS, INC.
95. SINCERE SECURITIES CORPORATION
96. SOLAR SECURITIES, INC.
97. STANDARD SECURITIES CORPORATION
98. STAR ALLIANCE SECURITIES CORP.
99. STRATEGIC EQUITIES CORPORATION
100. SUMMIT SECURITIES, INC
101. SUNSECURITIES, INC.
102. SUPREME STOCKBROKERS, INC.
103. TANSENGCO & COMPANY, INC.
104. THE FIRST RESOURCES MGT & SEC. CORP.
105. TIMSON SECURITIES, INC.
106. TOWER SECURITIES, INC.
107. TRANS-ASIA SECURITIES, INC.
108. TRITON SECURITIES CORPORATION
109. UBS SECURITIES PHILIPPINES INC.
110. UCPB SECURITIES, INC.
111. UNICAPITAL SECURITIES, INC.
112. UOB-KAY HIAN SECURITIES (PHILIPPINES), INC.
113. UPCC SECURITIES CORPORATION
114. VALUE QUEST SECURITIES CORPORATION
115. VC SECURITIES CORPORATION
116. VENTURE SECURITIES, INC.
117. WEALTH SECURITIES, INC.

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(As of March 5, 2021)

- 118. WESTLINK GLOBAL EQUITIES, INC.
- 119. WONG SECURITIES CORPORATION
- 120. YAO & ZIALCITA, INC.
- 121. YU & COMPANY, INC.

|                           |    |     |     |    |                                      |                |     |     |
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