



MEMORANDUM

CN - No. 2019-0047

THE PHILIPPINE STOCK EXCHANGE, INC.

- | | |
|-------------------------------------|--|
| <input type="checkbox"/> Trading | <input checked="" type="checkbox"/> Public Advisory |
| <input type="checkbox"/> Disclosure | <input type="checkbox"/> Administrative/Technology Matters |
| <input type="checkbox"/> Listing | <input type="checkbox"/> Others: |

TO : THE INVESTING PUBLIC

SUBJECT : UNAUTHORIZED USE OF PSE NAME AND LOGO

DATE : October 14, 2019

The Philippine Stock Exchange, Inc. ("PSE" or "Exchange") received information that its name and logo are used in falsified documents that certify stock ownership of various listed shares as well as in documents that require settlement of fees and charges to facilitate the release of gains from stock investments. The documents are sent to foreign nationals. Copies of the said documents are attached to this advisory.

Please note that no such documents were issued by PSE. The PSE does not certify shareholdings in listed companies because the records of legal and/or beneficial owners are in the possession of the stock transfer agent appointed by the listed firm. Fees and taxes incurred in a sale transaction, on the other hand, are deducted from the proceeds of the selling transaction.

In the scheme, the above-mentioned documents are purportedly issued by the PSE to a representative of the Philippine Deposit Insurance Corporation (PDIC). The PDIC has confirmed that the person named therein is not a PDIC employee and is not in any way connected with the PDIC. The PDIC likewise confirms that the e-mail address used in the said documents is not the official e-mail address of the PDIC.

In light of the foregoing, PSE denies any obligation or liability for any decision or action taken in reliance upon such fraudulent communications. PSE has referred the illegal use of PSE's name in sham e-mails, letters, and social media posts to the NBI for investigation and will file the appropriate legal action against the people behind such scams.

The PSE would like to remind the public to be vigilant, exercise extreme caution, and to demand verification of any documents that are supposedly from the Exchange. If names of individuals are indicated on documents, it would be prudent to get in touch with the company they are supposedly connected with to ascertain their affiliation. Check the contact details used such as the office address, e-mail address, telephone number to determine whether these information are accurate and official.

CTD / HRAD	MOD / TD	IRD / IAG	CMDD / CPIRD	OGC / CGO	COO
Tel. No. 8876 - 4831 / 8876 - 4852	Tel. No. 8876 - 4702 / 8876 - 4771	Tel. No. 8876 - 4731 / 8876 - 4847	Tel. No. 8876 - 4851	Tel. No. 8876 - 4871 / 8876 - 4841	Tel. No. 8876 - 4807



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We also encourage the public to inform the PSE if they have been shown these fake letters or have reason to believe that they are being defrauded with potential stock market investment scams. To report any suspected unofficial and illegal use of PSE's name, logo, and contact information or to verify claims and report possible fraudulent acts, please call (+632) 8876-4888 or send an e-mail to investing@pse.com.ph.

(Original Signed)
ROEL A. REFRAN
Chief Operating Officer

CTD / HRAD	MOD / TD	IRD / IAG	CMDD / CPIRD	OGC / CGO	COO
Tel. No. 8876 - 4831 / 8876 - 4852	Tel. No. 8876 - 4702 / 8876 - 4771	Tel. No. 8876 - 4731 / 8876 - 4847	Tel. No. 8876 - 4851	Tel. No. 8876 - 4871 / 8876 - 4841	Tel. No. 8876 - 4807



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Deputy Supervisor
Philippine Deposit Insurance Corp
resolutions@pdic.gov.ph

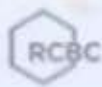


Greetings!

As per your request we have gathered the following Stock exchange data for [REDACTED]
[REDACTED] a resident of [REDACTED] for your
review and perusal.

This serves as an official copy and non-transferable document for the owner of the PSE
stocks.

SYMBOL	NAME	NO. OF SHARES	ENTRY VALUE	ENTRY DATE	AMOUNT IN USD	EXCHANGE RATE	CURRENT PRICE	MARKET VALUE
AC	AYALA CORPORATION	5000	840.5	JUNE 23, 2017	\$85,243.40	\$49.3	935	\$89,234
ALI	AYALA LAND INC.	100000	35.3	APRIL 28, 2017	\$70,445.02	\$50.11	48.90	\$93,338.42
AP	ABOITIZ POWER CORPORATION	180000	34.75	JUNE 29, 2017	\$124,131.77	\$50.39	34.20	\$117,503.34
DMC	DMCI HOLDINGS INC.	210000	13.24	MAY 5, 2017	\$55,898.67	\$49.74	8.75	\$35,073.48
ICT	INTERNATIONAL CONTAINER TERMINAL SERVICES	110000	88.00	APRIL 21, 2017	\$194,611.98	\$49.74	127.5	\$267,703.76
FX	PHILEX MINING CORP	220000	9.13	JULY 21, 2017	\$39,648.63	\$50.66	3.83	\$16,083.22
SMC	SAN MIGUEL CORPORATION	150000	97.5	SEPTEMBER 15, 2017	\$285,700.33	\$51.19	174.30	\$499,045.61
URC	UNIVERSAL ROBINA CORPORATION	10000	143	AUGUST 11, 2017	\$28,044.71	\$50.99	165.60	\$31,609.08



BLOCKCHAIN



THE PHILIPPINE STOCK EXCHANGE INCORPORATED

6th to 10th Floors, PSE Tower, 5th Avenue corner 28th Street, Bonifacio Global City, Taguig City



Deputy Supervisor
Philippine Deposit Insurance Corp
resolutions@pdic.gov.com.ph

Greetings!

Below are the rates and fees of the shares for **Mr. Christopher M. [REDACTED]** (SHAREHOLDER). Kindly please assist the SHAREHOLDER on the settlement of any transactions pertaining to the brokerage fees and charges.

BROKERAGE FEES AND CHARGES

AMOUNT

Broker's Commission: 0.25%	\$2,873.97
VAT (value added tax) on Broker's Commission: 12%	\$344.87
SCCP or Clearing Fee: 0.01%	\$114.95
PSE transaction Fee: 0.005%	\$57.47
Stock Transaction Tax: 0.5%	\$5,747.95
Total fees from selling transaction	\$9,139.21

The fees and charges are subject to change without further notice. Kindly inform the SHAREHOLDER to settle the account to release the profit to an assigned bank by the PDIC (Philippine Deposit Insurance Corp.)

Officer in Charge
Market Execution Division
PSE

